

12/05/01

PTO 1131 U.S.

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Approved for use through 10/31/2002. OMB 0651-0032

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UTILITY  
PATENT APPLICATION  
TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. UNME-0115-1

First Inventor ROGELJ, Snezna

Title Inhibition of Cell Surface Protein Disulfide...

Express Mail Label No.

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
2. ☒ Applicant claims small entity status.  
See 37 CFR 1.27.
3. ☒ Specification [Total Pages 38]  
(preferred arrangement set forth below)
- Descriptive title of the invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed. sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure

- 4.
- ☒
- Drawing(s) (35 U.S.C. 113) [Total Sheets 6]

5. Oath or Declaration [Total Pages 2]

- a. ☐ Newly executed (original or copy)
- b. ☒ Copy from a prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 18 completed)

- i. ☐ DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s)  
named in the prior application, see 37 CFR  
1.63(d)(2) and 1.33(b).

- 6.
- ☒
- Application Data Sheet. See 37 CFR 1.76

ADDRESS TO: Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

7. ☐ CD-ROM or CD-R in duplicate, large table or  
Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)
- a. ☒ Computer Readable Form (CRF)
- b. Specification Sequence Listing on:
- i. ☐ CD-ROM or CD-R (2 copies); or
  - ii. ☒ paper Statement in Prelim. Amend
- c. ☒ Statements verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement (when there is an assignee) ☐ Power of Attorney
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
13. ☒ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
16. ☐ Request and Certification under 35 U.S.C. 122  
(b)(2)(B)(i). Applicant must attach form PTO/SB/35  
or its equivalent.
17. ☒ Other: Associate Power of Attorney

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☒ Divisional ☐ Continuation-in-part (CIP)

Prior application information:

Examiner KLINE, E.

of prior application No. 09,424,181

Group Art Unit: 3763

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 19. CORRESPONDENCE ADDRESS

☒ Customer Number or Bar Code Labelor ☐ Correspondence address below

Name	Mark J. Gutttag 22506				
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Country	United States	Telephone	703-591-2664	Fax	703-591-5907

Name (Print/Type)	Mark J. Gutttag	Registration No. (Attorney/Agent)	33,057
Signature	<i>Mark J. Gutttag</i>	Date	Dec. 05, 2001

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS: SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

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# FEE TRANSMITTAL FORM

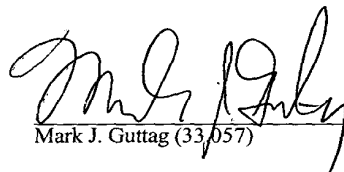
CLAIM	FOR	NUMBER FILED	NUMBER EXTRA	RATE	CALCULATIONS
	TOTAL CLAIMS	10-20	0	x\$18 =	\$0
	INDEPENDENT CLAIMS	1-3	0	x\$84 =	\$0
	MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+\$270 =	\$0
			BASIC FEE		\$740.00
			TOTAL OF ABOVE		\$0
	REDUCTION BY 50% FOR FILING BY SMALL ENTITY (note 37 C.F.R. §§ 1.9, 1.27, 1.28).				\$370.00
			TOTAL=		\$370.00

- ☒ A verified statement to establish small entity status under 37 CFR 1.9 and 1.27  
☒ is enclosed
- ☒ The Commissioner is hereby authorized to charge any additional fees which may be required under 37 CFR 1.16 and 1.17, or credit any overpayment to **Deposit Account No. 10-0233 UNME-0115-1.**
- ☐ A check in the amount of \_\_\_\_\_ is enclosed.
- ☒ Cancel in this application original **claims 1, 2, 3, 4, 5, 6, 7, 8, 16, 17 and 18** of the prior application before calculating the filing fee. (At least one original independent claim must be retained for filing purposes.)

Address all future communications: (May only be completed by applicant or attorney/agent of record.)

**JAGTIANI + GUTTAG**  
Democracy Square Business Center  
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December 5, 2001

  
Mark J. Gutttag (33,057)

- ☒ Attorney or Agent of Record
- ☐ Inventor(s)
- ☐ Assignee of Complete Interest
- ☐ Filed under § 1.34(a)

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of: )  
)  
ROGELJ, *et al.* ) Examiner: To be assigned  
)  
SERIAL NUMBER: To be assigned, ) Art Unit: To be assigned  
a Divisional of U.S. App. Serial )  
No. 09/424,181, filed on )  
November 10, 1999 )  
)  
FILED: CONCURRENTLY HERewith )  
)  
FOR: INHIBITION OF CELL SURFACE ) Docket No: UNME-0115-1  
PROTEIN DISULFIDE ISOMERASE )

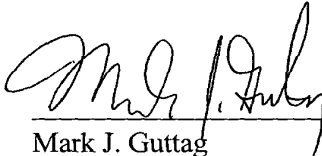
Director of U.S. Patent and Trademark Office  
Washington, D.C. 20231

**REQUEST FOR PRIORITY**  
**UNDER 35 U.S.C. § 120**

Sir:

In the matter of the above-captioned application for a United States patent, notice is hereby given that the Applicant claims the priority date of U.S. Patent Application No. 09/424,181 which was filed on November 10, 1999, the priority date of PCT Application No. PCT/US98/09795 which was filed on May 14, 1998, and which claims the priority date of May 14, 1997, the filing date of United States Provisional Patent Application No. 60/046,487 under 35 U.S.C. § 120.

Respectfully submitted,

  
\_\_\_\_\_  
Mark J. Gutttag  
Registration Number 33,057

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10971 U.S. PTO  
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